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## DISCLOSURE DIVISION

- ☒ **WAIVER REQUEST**  
☐ **ANSWER**  
☐ **RECONSIDERATION REQUEST**

DATE: FEBRUARY 5, 2016

DOCKET #: 2016-167

### FILER INFORMATION

Robin Gremillion, Director  
Disclosure Division

Name: **HOLDER, STEPHEN**  
Office/Position: Constable - DeSoto Parish, District 2  
Board/Commission: -----  
Dates of Service: January 2015 - January 2021  
Number of Disclosures Filed with Agency: 2

### REPORT INFORMATION

Tier Level: Tier 3  
Name of Report: Tier 3 Annual PFD covering 2014  
Original Due Date: May 15, 2015  
NOD-FF Received: November 9, 2015  
PFD due Date based on NOD: November 19, 2015  
PFD Filed: January 27, 2016

### LATE FEE INFORMATION

Amount of Late Fee: \$1500  
Days late from receipt of NOD: 69  
Total days late from initial due date: 257  
Late Fee Order Received: January 22, 2016  
Payment/Waiver Request due date: February 11, 2016  
Waiver Request Received: January 27, 2016

### REASON FOR LATE FILING AND/OR COMMENTS:

Mr. Holder stated that he was sworn into office in January 2015. He did not think he was required to file a disclosure statement covering 2014 since he did not serve as constable during that calendar year. Mr. Holder stated that he now understands the requirements and plans to file all future disclosure statements in a timely manner.

### OTHER LATE FEE INFORMATION

Other Outstanding PFD Late Fees: No  
Other Outstanding CF Late Fees: No  
Other Outstanding Disclosures: No  
Prior Disclosure Late Fees: No  
Reassessed Late Fees: No



STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**  
P. O. BOX 4368  
BATON ROUGE, LA 70821  
(225) 219-5600  
FAX: (225) 381-7271  
1-800-842-6630  
[www.ethics.state.la.us](http://www.ethics.state.la.us)

**CERTIFIED MAIL**

**NO. 70151520000294528867**

**RETURN RECEIPT REQUESTED**

November 4, 2015

Stephen Holder  
201 Sepulvado Dr.  
Stonewall, LA 71078-9636

**RE: NOTICE OF DELINQUENCY - FAILURE TO FILE**  
**Statement covering 2014**  
**Constable**

Dear Stephen Holder:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of our records indicates that we have not received your Personal Financial Disclosure Statement.

**You have 7 business days from the date of receipt of this Notice** to file your Tier 3 Personal Financial Disclosure Statement covering 2014, or to submit an Answer explaining why you feel you are not required to file a Personal Financial Disclosure Statement. Failure to file a Personal Financial Disclosure Statement or an Answer within the 7 business days will subject you to an automatic late fee of \$50 per day up to a maximum of \$1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

The form for the Tier 3 Personal Financial Disclosure Statement (Form 418a) is available on the Louisiana Board of Ethics website at [www.ethics.state.la.us](http://www.ethics.state.la.us). If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,

Vivian Head  
Compliance Officer

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Stephen Holder  
201 Sepulvado Dr.  
Stonewall, LA 71078-9636



8867



9590 9401 0010 5205 5697 47

2. Article Number (Transfer from service label)

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1?  
If YES, enter delivery address below

☐ Yes  
☒ No

3. Service Type

- ☐ Adult Signature
- ☐ Adult Signature Restricted Delivery
- ☒ Certified Mail®
- ☐ Certified Mail Restricted Delivery
- ☐ Collect on Delivery
- ☐ Collect on Delivery Restricted Delivery
- ☐ Insured Mail
- ☐ Insured Mail Restricted Delivery (over \$500)

- ☐ Priority Mail Express®
- ☐ Registered Mail®
- ☐ Registered Mail Restricted Delivery
- ☒ Return Receipt for Merchandise
- ☐ Signature Confirmation™
- ☐ Signature Confirmation Restricted Delivery

PS Form 3811

7015 1520 0002 9452 8867

Domestic Return Receipt

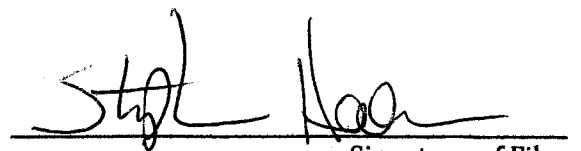
**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**TIER 3 PERSONAL FINANCIAL DISCLOSURE STATEMENT(ANNUAL)****This Report Covers Calendar Year:** 2014☒ ORIGINAL REPORT☐ AMENDED REPORT☐ FINAL REPORT WHERE TERM ENDS IN JANUARY (COVERING JANUARY 1 THROUGH JANUARY )

Final reports must be filed on or before May 15 of the year in which your service to that office ends.

Refer to the "GENERAL INFORMATION" sheet of this form to determine eligibility.

Office/Position Held: constableName (print full name): stephen douglas holderMailing Address: 201 sepulvadoCity, State, Zip : stonewall, la. 71078Name of Spouse(if applicable) (print full name): julie ann holderSpouse's Occupation registered nursePrincipal Business Address: 2240 e. bert kounsCity, State, Zip : shreveport, la**Check all that apply:**☒ I have filed my federal income tax return for the previous year.☐ I have filed for an extension of my federal income tax return for the previous year.☐ I have filed my state income tax return for the previous year.☐ I have filed for an extension of my state income tax return for the previous year.**NOTE: La. R.S. 42:1124.3 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.****Certification of Accuracy**

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.

  
Signature of Filer



STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**  
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FAX: (225) 381-7271  
1-800-842-6630  
www.ethics.state.la.us

**CERTIFIED MAIL**

**NO. 70153010000108091848**

**RETURN RECEIPT REQUESTED**

January 20, 2016

Stephen Holder  
201 Sepulvado Dr.  
Stonewall, LA 71078-9636

RE: Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014  
Constable for District 2 - DeSoto Parish  
**Late Fee Assessment – Report:**

Dear Stephen Holder:

Enclosed is an assessment of a late fee pursuant to La. R.S. 42:1124.4. Please submit a check or money order in the amount of \$1,500 payable to the *Louisiana State Treasurer*, Post Office Box 4368, Baton Rouge, Louisiana 70821.

In addition to the payment of this late fee, you are required to file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014, within 20 days from receipt of this notice. If the disclosure statement is not filed, the matter will be referred to the Ethics Board for further action.

You have 20 days from the receipt of this letter to submit payment or dispute this assessment. To dispute the assessment, you may elect to:

- **Request a waiver** to the *Board of Ethics*  
If you choose to request a waiver of the late fee, your request should include specific details, along with supporting documentation, as to why, in your opinion, you have *good cause* for not timely filing the report and why the late fee should be reduced, suspended, or waived. The request should be submitted to the attention of: *Louisiana Board of Ethics*, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your request. If you request an appearance, you will be notified of the place and time prior to the meeting.
- **Appeal the assessment** directly to the *Ethics Adjudicatory Board*  
If you choose to appeal the assessment of the late fee, the proceedings will go before a three judge panel of administrative law judges. The panel will determine if you were required to file the disclosure statement and whether the disclosure statement was filed late. Mitigating factors are not considered. The Ethics Adjudicatory Board does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to *appeal* the assessment, you should submit your request to the attention of: *Executive Secretary*, Ethics Administration, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271.

If you do not pay, dispute, or appeal the assessment of the late fee, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

You should be aware that unpaid fines, fees, or penalties may have an adverse effect on your ability to run for public office, as the *Board of Ethics* will object to your candidacy in future elections pursuant to La. R.S. 18:491 and 18:492.

If you have any questions, you may contact Robin Gremillion at 225/219-5600 or 1-800-842-6630.

Sincerely,

*Donna Bourgeois*

Donna Bourgeois  
Administrative Coordinator

**STATE OF LOUISIANA  
BOARD OF ETHICS**

**In Re: Tier 3 Annual Personal Financial Disclosure Statement 2014  
Constable for District 2 - DeSoto Parish  
Late Fee Assessment – Report:**

**ORDER**

STEPHEN HOLDER was required to file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014 pursuant to La. R.S. 42:1124 et seq.

On November 9, 2015, STEPHEN HOLDER received a Notice of Delinquency for failing to file the disclosure statement. The notice required that the disclosure statement be filed by November 19, 2015 (pursuant to La. R.S. 42:1124.4). As of this date, the disclosure statement has not been filed.

La. R.S. 42:1124.4 authorizes the assessment of a \$50 late fee per day (not to exceed \$1,500) against STEPHEN HOLDER for this late filing.

Accordingly, **IT IS ORDERED** that the maximum penalty amount of \$1,500 be assessed against STEPHEN HOLDER for failing to timely file the Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014.

**IT IS FURTHER ORDERED** that STEPHEN HOLDER file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014.

**ORDER** signed on the 20th day of January 2016 at Baton Rouge, Louisiana.

  
\_\_\_\_\_  
Robin Gremillion, Director

Disclosure Division

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Stephen Holder  
201 Sepulvado Dr.  
Stonewall, LA 71078-9636



1848



9590 9402 1346 5285 3296 59

2. Article Number (Transfer from service label)

7015 3010 0001 0809 1848

PS Form 3811, July 2015 PSN 7530-02-000-9053

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X

*Stephen Holder*

☐ Agent

☐ Addressee

B. Received by (Printed Name)

*S Holder*

C. Date of Delivery

*1-22-16*

D. Is delivery address different from item 1? ☐ Yes  
If YES, enter delivery address below: ☐ No

3. Service Type

☐ Adult Signature

☐ Adult Signature Restricted Delivery

☒ Certified Mail®

☐ Certified Mail Restricted Delivery

☐ Collect on Delivery

☐ Collect on Delivery Restricted Delivery

fail

fail Restricted Delivery

(over 5000)

*DB*

☐ Priority Mail Express®

☐ Registered Mail™

☐ Registered Mail Restricted Delivery

☒ Return Receipt for Merchandise

☐ Signature Confirmation™

☐ Signature Confirmation Restricted Delivery

Domestic Return Receipt

Mrs. Donna Bourgeois,

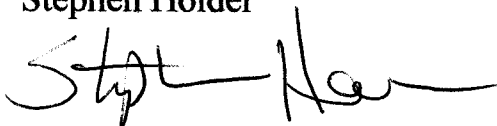
I am requesting a waiver of the \$1500.00 fine for failure to file a tier 3 annual financial disclosure statement for the year of 2014.

I was newly elected in November of 2014 but my term didn't start until January first of 2015. I was unaware that I need to file the tier 3 statement for 2014 as my term was not effective until January 1<sup>st</sup>.

I assure you from this day forward it will be filed on time as I am now aware that must be filed every year as well.

You may contact me if there are any more questions that you may have at 318-218-3777 or email me at [holderclan5@gmail.com](mailto:holderclan5@gmail.com). Thank you for your time and understanding.

Stephen Holder

A handwritten signature in black ink, appearing to read 'Stephen Holder', with a stylized, cursive script.

2016 FEB - 1 AM 8:52

RECEIVED  
JANUARY 1 2016  
3



Stephen D. Holder  
201 Sepulvado St  
Stonewall LA 71078-9636

SHREVEPORT LA 710

27 JAN 2016 PM 1 L

Happy  
Holidays



Louisiana Board of Ethics  
P.O. Box 4368  
Baton Rouge, LA. 70821

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